

Ministry of Panchayati Raj

(DPE Division)

Minutes of the Meeting of the Central Executive Committee (CEC) of Rajiv Gandhi Panchayat Sashaktikaran Abhiyan (RGPSA) held on 13.09.2013 in Committee Room No.1, Vigyan Bhawan Annexe, New Delhi.

A meeting of the Central Executive Committee (CEC) of the Rajiv Gandhi Panchayat Sashaktikaran Abhiyan (RGPSA) was held under the chairpersonship of Smt. L. M. Vas, Secretary, Ministry of Panchayati Raj at 10:30 A. M. on 13.09.2013 in Committee Room No. A, Vigyan Bhawan, Annexe, New Delhi. The list of the participants is at **Annex-I**.

2. At the outset, the Chairperson welcomed the members to the meeting. Thereafter, the agenda items were discussed. The following were the general issues emerging from the discussion:

- (i) **Release of financial assistance under e-Panchayat:** The Committee recalled the discussions of the CEC meeting held on 28.6.2013 wherein it was decided to accord an *in-principle* approval to continue the maintenance of the PES Applications of the e-Panchayat MMP upto March, 2014 under the Central Component of Rajiv Gandhi Panchayat Sashaktikaran Abhiyan. In that meeting, it had been decided to continue all the maintenance of the PES Applications through NIC/NICSI as per the hitherto existing arrangements which were approved by the SFC in 2009.

Joint Secretary (e-Panchayat) pointed out that since the minutes of the aforesaid meeting dated 28.6.2013 had mentioned that the project needed to be continued by NIC, the payment to NIC/NICSI could not be effected, as per the hitherto contractual arrangement, leading to stopping of salary of various staff since 01.7.2013. The Committee agreed that the maintenance of applications and Training under the project would need to be continued. 10 software applications are under various stages of adoption by various States and UTs. The

adoption status of these applications is at **Annex-II**. AS & FA however stated that MoPR should review whether the same arrangement needed to be continued from 2014-15 onwards.

The Committee decided that the project needed to be continued from 01.7.2013 upto March, 2014 on the hitherto existing contractual arrangements and the fund of Rs. 4.18 crore for the period from 01st July to 30th September and Rs. 8.35 crore for the period 01st October, 2013 till 31st March, 2014 made available to NIC/NICSI with due concurrence of Integrated Finance Division.

- (ii) **Engagement of Office Assistants-cum-DEO and Multi Tasking Staff (MTS) in DPE Division for work relating to Rajiv Gandhi Panchayat Sashaktikaran Abhiyan** : Joint Secretary, RGPSA explained about the shortage of support staff in the Division and emphasized need of engagement of 02 Data Entry Operator (DEO) & 02 Multi-tasking Staff (MTS) through the existing provision available under the programme. This was approved by CEC.
- (iii) **Perspective Plan & Annual Plans 2013-14 received from States/UTs** : The decisions of the CEC on the specific proposals of each State are at **Annex – III**.

3. The meeting ended with a vote of thanks to the Chair.

A. Perspective and Annual Plan 2013-14 of Karnataka

Decision on Performance Parameters

SL. No.	Performance Parameters as indicated in Guidelines	State Goal for 2013-14	Decision by CEC keeping in view the status and target indicated by the State and the comments of Programme Division
1	Articulating an appropriate policy framework for providing administrative and technical support to Panchayats.	<p>Appointment of Data Entry Operators for Gram Panchayat MIS work.</p> <p>Gram Panchayat will make appointment as per Section 112 and 113 of KPR Act 1993. Under these sections, salary is not provided under Consolidated Fund of the State. The proposal for creating of GP posts have been submitted to Finance Department for concurrence.</p> <p>Appointment of Technical Assistants per Taluka for 176 Talukas by outsourcing immediately.</p>	As the State has developed the staff structure at the GP level considerably, this was accepted.
2	Strengthening the financial base of Panchayats by assigning appropriate taxes, fees, etc.	<p>Provision has been provided in Section of 199 of KPR Act 1993</p> <p>State further informed that:- Presently annual demand from property taxes in all GPs is about Rs 250 crores with a collection percentage of about 45%.The state would like to focus on the following in this year: i) Rationalisation of tax structure by moving from</p>	<p>Accepted. However, State may articulate a process for tracking tax structure of Gram Panchayat.</p> <p>State may also set target to help GPs identify non-taxes resources of revenues.</p>

		<p>ARV to a capital value system of taxes .Amendment has been proposed.</p> <p>ii) Increasing tax base by Amending Section 199 of KPR Act. Amendment has been proposed.</p> <p>iii) Preventing leakages in tax collection and tax demand.</p> <p>iv) Revising property tax rates in at least 1500 GPs this year. Similarly revising Water tax and fees.</p> <p>v) Identifying non property sources of revenue to increase OSR of GPs.</p> <p>vi) Focus on urban conglomerate GPs to prevent leakage of revenues.</p> <p>vii) Identification of locational advantage based OSR sources for GPs.</p> <p>vii) Fortnightly review for GP wise tax collection by EOs, monthly review by CEOs and by the Government.</p>	
3.	Provision of untied funds to Panchayats and timely release of SFC and Central Finance Commission (CFC) grants.	State intimated that, all 30 ZPs,176 TPs and 5627 GPs are getting untied grants of Rs 2 crores, Rs 1 crore and Rs 10 lakhs /Rs 12 lakhs per annum. The GPs statutory grant was increased from Rs 8 lakh and Rs 10 lakh to Rs 10 lakhs and Rs 12 lakh respectively in August 2013.	Accepted.
4	Ensuring devolution of funds, functions and functionaries. (Activity Mapping chart must be attached with the Perspective Plan.)	<p>Activity Mapping chart provided.</p> <p>Under RGPSA the state would like to revisit the concept of devolution of functions and evolve a consensus approach for devolution of functions as per the 73rd Amendment with the line departments. On the basis of this approach a comprehensive policy on implementation of schemes through PRIs will be issued. This will address-</p> <p>a)listing of all functions of RDPR and the line</p>	Accepted.

		<p>departments-By April 2014</p> <p>b) identification of PRI functionaries for the same. In case of existence of other machinery of implementation onus will be on finding compatibility of reporting to the PRI entities as in-</p> <p>i) in all beneficiary oriented schemes identification of beneficiaries will be through Gram Sabhas.</p> <p>ii) reporting action plans and monthly progress of the ongoing works in the mandatory meetings of the ZPs, TPs and GPs.</p> <p>iii) social audit of all these schemes through the GP Jamabandi undertaken every year between August and September in every GP.This exercise will be completed by December 2014.</p> <p>iv) Evolving a consensus for timeframe of implementation through PRIs-January 2015 onwards.</p>	
5	<p>Preparing and operationalizing a framework for bottom-up grassroots planning and convergence through the DPC.</p>	<ul style="list-style-type: none"> • The State intimated that in all 29 districts DPCs have been established. • The State intimated that Gram Sabha has to identify the development works and action plan will be taken <p>Under RGPSA focus is on-</p> <ul style="list-style-type: none"> • Preparation of a ten year Perspective Plan by the Gram Panchayats through Ward and Gram Sabhas. This GP wise plan shall be put up in public domain. Each year's GP, TP and ZP Annual Plans shall be drawn from this Perspective Plan-December 2014 • Every GP,TP,ZP will prepare an integrated 	Accepted.

		<p>plan for all the 23 line departments under the ambit of the PRIs. This will be collated as the District Plan for the financial year-Preparation of District Plan for 3 Districts will be undertaken this year-2013-14.For the remaining districts and Talukas-2014-15.</p> <ul style="list-style-type: none"> • For the planning exercise to be realistic and meaningful every GP,TP and ZP shall be entitled to know its annual minimum entitlements accruing from the Central and State schemes-In 2014-15 • All annual plans shall be in in public domain in Panchamitra and the Worksoft Portals with scheme wise physical, financial progress available ward wise-2013-14. • Facilitate presence and participation of all marginal communities and vulnerable sections like women, Scheduled Castes, Scheduled Tribes, Backward Classes, Minorities in Ward and Gram Sabhas. • Ensure inclusion of women, Scheduled Castes, Scheduled Tribes, Backward Classes, and Minorities in all developmental activities. • Ensure adequate provision in allocation of Plan resources for marginal communities and vulnerable sections like women, Scheduled Castes, Scheduled Tribes, Backward Classes and Minorities. • Ensure complaints on bonded labour are verified and action initiated promptly. • Ensure mainstream rehabilitation and convergence of erstwhile manual scavengers and released bonded labourers. 	
6	Ensuring free and fair elections, and making the SEC autonomous.	<ul style="list-style-type: none"> • The State intimated autonomous power has been given to SEC. 	Accepted.

		<ul style="list-style-type: none"> The State proposed building work for SEC. <p>State further informed that the State is committed to ensuring a clean and fair electoral process in the elections and will support and supplement the activities of the SEC in this regard.</p>	
7	Strengthening the institutional structure for capacity building of Panchayats, selecting suitable partners for capacity building, and improving outreach and quality of capacity building.	<p>The State SPRC and Regional Centres will be formed for strengthening of institutional structure.</p> <p>There is no State Panchayat Resource Centre presently though Govt. of Karnataka has created and approved posts for staff .All districts have land for the District Panchayat Resource Centre. Hence, this year in RGPSA proposal has been made for all the 30 districts. Further since all the districts have a Taluk Panchayat Resource Centre (Samarthya Soudha) already functional there is a vacuum at the district level. Hence, the state proposes to take up construction of all the 30 DPRCs this year.</p>	Accepted.
8	Putting in place a system of performance assessment of Panchayats.	The state has adopted the PEAIS questionnaire with modifications for the state programme of Gandhigrama Good Governance Awards for two best GPs in every TP. Besides the Panchatantra software provides real time ranking of ZPs, TPs and GPs on good governance indicators. The website address is http://panchatantra.kar.nic.in/stat/	Accepted. The State may make a special effort to identify and support 'On watch' Panchayats.
9	Strengthening Gram Sabhas, promoting Mahila Sabhas/Ward Sabhas	<p>The State department has proposed a Bill to make it mandatory for the GP Adhyaksha and members to convene atleast two Ward Sabhas and Gram Sabhas in a year and defaulting on the same two times in succession will result in initiation of judicial process for disqualification.</p> <p>All Gram Sabhas are to be videographed with copy</p>	State may at least start piloting Mahila Sabhas in some Panchayat areas this year.

		<p>of the CD available to any citizen on payment of a nominal amount of Rs 50/-.</p> <p>All Gram Sabhas proceedings are uploaded on the Panchatantra portal for accessibility of decisions taken to the public.</p>	
10	<p>Institutionalizing accountability processes such as voluntary disclosure of information and social audit.</p>	<p>At present Social Audit is being conducted for the MGNREGS. Jamabandhi is also a one kind of social audit conducted in all GPs.</p> <p>Every year between 16th August-15th September there is a Social Audit in every Panchayat which is known as Jamabandhi. To conduct the same, Social Audit Rules have been issued in the year 2004. In this Social Audit all transaction of the Gram Panchayat are placed before the public for scrutiny. All programmes implemented by the RDPR department including state schemes, central schemes, central sponsored schemes, own resource schemes of GPs and Externally Aided Projects come under the ambit of this Jamabandhi (social audit)of GPs. Social Audit- Jamabandhi recommends corrective measures. It has been made mandatory to upload Jamabandhi proceedings on the Panchatantra Portal. Every GP has to mandatorily discuss the Jamabandhi Report and initiate action on the same.</p>	<p>Accepted. State will share the results of these proceedings as evaluated on the Panchtantra Portal with the Ministry of Panchayati Raj by end of this year.</p>
11	<p>Strengthening the system of budgeting, accounts and audit, including use of e enabled processes. Maintenance of Panchayat accounts on-line at least for District and Intermediate Panchayats.</p>	<p>The State intimated at present Panchtantra system is introduced in all 5627 Gram Panchayats .</p> <ul style="list-style-type: none"> • Ward and Gram Sabhas will function effectively as the grassroots forum for people's participation in good governance. The provision for low resource base GPs for additional funding will 	<p>Accepted.</p>

	<p>Issuing of guidelines/rules for voluntary disclosure of budget and accounts by Panchayats.</p>	<p>help mobilize participation.</p> <ul style="list-style-type: none"> • The IEC grants of 1% of allocation will be passed on the GPs to increase efficiency, promote accountability and transparency through Charter of Mandatory disclosures. GPs will be encouraged to offer for telecast all Gram Sabhas and Gram Panchayat monthly meetings live on cable TV and a monthly newsletter stating receipts, expenditure and programmes and works undertaken in the month will promote voluntary disclosure and accountability. • Office of the District Ombudsman will be created and functionalized in all districts. For this an annual grant of Rs.1.95crores is sought under RGPSA. • Provision has been made from Jan 2013 in Panchamitra and Panchatantra portal to view the scanned and uploaded proceedings of all Ward Sabhas, Gram Sabhas, Statutory Committee Meetings and General Meetings. This will be monitored for compliance and will be one of the factors in Benchmarking PRIs. Thus access to decision making process has been made to the citizens without them having to resort to RTI. • Preparation of integrated action plans at GPs through Plan Plus software and thereby integrated TP and integrated ZP Action Plans. This will be done in 2013 for all GPs, TPs and ZPs. • The Budget and Action Plan with workwise details shall be in public domain on the Panchamitra and the Worksoft Portals. • Month to month scheme progress with work wise physical and financial progress shall be in 	
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		<p>public domain in the Panchamitra and Worksoft Portals.</p> <ul style="list-style-type: none"> • Details of Action Plan, Budget, Releases and work wise physical and financial progress in every Central, State, District Sector, Own Resource funds or Externally Aided Project funds shall be mandatorily circulated as a part of every GP,TP ZP meeting agenda and shall be discussed in the meeting. • Every work shall have a photo of a minimum of three stages with GPS coordinates date and time. • The department shall endeavour to instil all mechanisms to prevent duplication of work and claiming of several bills on the same work. • There shall be mandatory disclosures through a monthly newsletter of the fund flow, bank deposit details and expenditure at every GP. • Every year Jamabandi or Social Audit shall be undertaken in all Gram Panchayats. • The Social Audit mechanism shall be strengthened and follow up action on its findings discussed in the GP. • All monthly accounts in GP,TP and ZP shall be in public domain in the Panchamitra and Work soft portals. • All pending audit observations shall be attended and resolved within a given timeframe. <p>The Annual Income, Assets and Liabilities Statement of all PRI members and all the personnel shall be published and be available in public domain.</p>	
12	Ensuring compliance of State laws and rules with PESA.	NA	--

Decision of Central Executive Committee (CEC) on activities proposed in Annual Plan 2013-14 :

State: Karnataka

SL. No.	Activity Head	Proposal of State	Decision of the CEC
1	Administrative and technical support at GP level	Existing Staff position at GP level: The State has a Panchayat Development Officer at every GP. The State has mentioned that GPs in Karnataka have a Data Entry Operator (DEO) appointed under MGNREGA, who does MGNREGA work. The State has proposed engagement of 5629 Data Entry Operator @ Rs. 6143/- for 6 months for Gram Panchayat MIS work, due to increased workload in Panchtantra portal, e-Swatthu and Worksoft & GOI schemes. The total cost proposed in Annual Plan for manpower hiring is Rs. 20.74 Cr. Proposed works are online e-inventory for GP, online generation of property and taxation records etc. The State intimated that staff will be selected through Section 112 and 113 of KPR Act 1993.	Accepted.
	Appointment of Technical Assistants per Taluka for 176 Talukas	The State has proposed Rs. 316.8 lakhs for engagement of 03 Technical Assistant for assisting the TP AEEs, AEs and JEs mainly in preparation of estimates and undertaking work inspections in each of 176 blocks @ Rs. 10,000/- per Taluk Panchayat. Atleast 8 GPs will be attached to each Work Assistant.	Accepted.
2	GP Buildings		
a	Construction of New GP Buildings	The State has proposed Rs. 30 lakhs for construction of 02 new GP buildings at Raichur and Belgaum @ Rs.	Accepted, State was also directed to provide cost estimates to Ministry of

		<p>15 lakhs each.</p> <p>State has reasoned that the newly created GPs population is more than 5000 as per Census 2011, the proposed GP building is entitled to Rs.15.00 lakhs each as per RGPSA guidelines. Hence an allocation of Rs 15 lakhs per GP building may be sanctioned.</p>	Panchayati Raj.
b	Construction and repair of Gram Panchayat Bhawans	<p>The State has identified 990 GPs which have repair and maintenance work due. These are GPs with very low per capita OSR. An amount of Rs. 29.7 Cr. has been proposed @ Rs. 3 lakh each for improving their office space and other infrastructure.</p> <p>State further informed that: Line estimates have been enclosed for two basic infrastructure requirements :-</p> <ul style="list-style-type: none"> i) Construction of an office record room for Rs 3 lakh/GP for maintenance of record room for classifying and storing files- GPs are also local self governments and are record keepers of assessment of properties, RTI compliant and implement atleast 10-15 schemes all of which mandate individual files and records and are subject to CAG and SAD audit. Hence a record room is a prime requirement for classifying and preserving records and facilitating good governance in a GP. ii) Construction of a meeting hall for Rs. 8 lakhs- Many of these low OSR GPs do not have a meeting hall. To facilitate conduct of meetings and to provide office space for discharging primary functions of governance in a GP, construction of a 	Approved. State informed that the structure will be completed in this financial year.

		<p>meeting hall has been proposed. The GPs will be funded Rs 3 lakhs through RGPSA and the remaining Rs 5 lakh can be pooled by them through a combination of OSR,XIII FC grants, sand mining royalty etc</p> <p>The onus is on these GPs to opt for either of these two infrastructure upgradation options.</p>	
3	Capacity Building and Training		
a	Training Needs Assessment (TNA)	Not proposed	
b	Preparation of Training Modules	Not proposed	
c	Development of Training Material including film and electronic material	Not proposed	
d	Exposure visits within/ outside State	Not proposed	
e	Evaluation of training	Not proposed	
f	Training of ERs and Functionaries	<p>The State has proposed budget estimate of Rs. 15.21 cr. for training of elected representatives and functionaries by ANSSIRD.</p> <p>State has proposed as following (I):</p> <p>(i) Capacity Building of newly elected GP Presidents and Vice Presidents along with PDOs / Secretaries (16882 participants X 3 days X 350 per person per day)= Rs. 177.26 lakhs</p> <p>(ii) Capacity Building of newly elected TP Presidents and Eos (352 participants X 4 days XRs. 680 per person per day) = Rs. 9.57 lakhs</p> <p>(iii) Capacity Building of newly elected ZP Presidents and Vice Presidents(60</p>	<p>Approved for activities proposed in (I) i.e. Rs. 9.51 Crore only. Costs for resource persons etc. should be built into the training unit costs.</p>

		<p>participants X 5 days X Rs. 1250 per person per day) = Rs. 3.75 lakhs</p> <p>(iv) Personality Development (Soft Skills) for Panchayat Raj Functionaries(320 participants X 3 days X Rs. 1250 per person per day) = Rs. 12 lakhs</p> <p>(v) Refresher course for PDOs. (2800 participants X 13 days or 2 weeks X Rs. 350 per person per day) = Rs. 12.74 lakhs</p> <p>(vi) Induction/ Foundation course for PDOs.(1353 participants X 48 days or 8 weeks X 350 per person per day) = Rs. 227.30 lakhs</p> <p>(vii) Capacity building of TP and ZP EWRs on their role in PRI Governace and empowerment (1279 participants X 6 days X Rs. 1250 per person per day) = Rs.95.92 lakhs</p> <p>(viii) Training on e-governance (e-prcurement and departmental Softwares) for ZP / TP officials (200 participants X 5 days X Rs. 1250 per person per day) = Rs. 12.5 lakhs</p> <p>(ix)Capacity building to newly elected TP Vice Presidents and members (3492 participants X 2 days X Rs. 680 per person per day)= Rs.47.49 lakhs</p> <p>(x) Capacity building to ZP standing committee Presidents and members (900 participants X3 days X 1250 per person per day) = Rs. 33.75 lakhs</p> <p>(xi)Training on Financial Management for Chief Accounts Officers and ZP Accounts Officers(240 participants X 4 days X Rs.</p>	
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		<p>1250 per person per day) = Rs. 12 lakhs</p> <p>(xii) Capacity building to elected ZP members(1015 participants X 3 days X Rs. 1250 per person per day) = Rs. 38.06 lakhs</p> <p>(xiii) Capacity building for ZP Officials(300 participants X 3 days X Rs. 1250 per person per day) = Rs. 11.25 lakhs</p> <p>(xiv) Capacity building for Talulk level implemeting Officers (840 participants X 2 days X Rs. 680 per person per day) = Rs. 11.42 lakhs</p> <p>(xv) Orientation for DPC Member on Preparation of Comprehensive District Development plans -(720 participants X 1 days X Rs. 1250 per person per day) = Rs. 9.00 lakhs</p> <p>(xvi) Use of GIS Technology in planning, monitoring and work implementation for TP Officials-(880 participants X 4 days X Rs. 680 per person per day) = Rs. 23.93 lakhs</p> <p>(xvii) Preparation of Comprehensive District Development plans -Process and Stages for various levels (GP, ULB, BLOCK and DISTRICT) for ZP / TP / GP level officials(300 participants X 5 days X Rs. 1250 per person per day) = Rs. 18.75 lakhs</p> <p>(xviii) Refresher course for Bill Collectors (11254 participants X 2 days X Rs. 350 per person per day) = Rs. 78.77 lakhs</p> <p>(xix) Pilot Programme on Sensitisation on Result Framework Document (RFD) (150 participants X 1 day X Rs. 680 per person per day) = Rs. 1.02 lakhs</p>	
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		<p>Sum of (A) from (i) to (xix) is Rs. 951.17 lakhs</p> <p>(II) Remuneration towards 02 Resource persons @Rs. 10,000 each for 176 Samarthya Saudhas for 12 months= Rs. 422.4 lakhs</p> <p>(III) Remuneration towards 01 Assistants @Rs. 7,000 each for 176 Samarthya Saudhas for 12 months= Rs. 147.84 lakhs</p> <p>Total of (I) +(II) + (III) = Rs. 15.21 Cr</p>	
4	Institutional Structure		
4.1	SPRC		
a	Additional Building and Equipment	<p>The State has proposed Rs. 2.00 cr. for construction of State Panchayat Resource Centre.</p> <p>Land of 5 acres has been allotted by the state Revenue Department. Land Sanction GO from Revenue Department- RD 31 LGB 2013 Bangalore Dated: 04/03/2013 is provided. The department is in possession of the land.</p> <p>There is no State Panchayat Resource Centre presently though Govt. of Karnataka has created and approved posts for staff. Hence, a building and physical infrastructure is very essential. As RGPSA permits an allocation of Rs 2 crores for SPRC it may be approved.</p>	Approved amount of Rs. 1.00 Crore only with the condition that SPRC would be co-located in SIRD, Mysore, as the goal is to strengthen SIRD, so that the common facilities available can be shared.
b	Establishment of Panchayat helpline & State Panchayat portal in SPRC	<p>The State has proposed a lumpsum amount of Rs. 1 lakhs for duration on 1 month.</p> <p>It may be approved as a part of SPRC.</p>	This may be built into the overall Rs. 1.00 crore ceiling.
c	Recurring cost on additional Faculty and maintenance	Not proposed	--
d	Provision of Computer, UPS, printer with scanner	The State has proposed an amount of Rs. 2 lakhs @ Rs. 40,000 for 5 systems.	Not approved. This cost is to be included in the overall cost of Rs. 1

		It may be approved as a part of SPRC.	Crore proposed for SPRC.
e	Solutions by subject experts	The State has proposed Rs. 12 lakhs @ Rs. 20,000 for 1 year for 05 no's. It may be approved as a part of SPRC.	Not approved. This cost is to be included in the overall cost of training, or provision of faculty for SPRCs.
4.2	New DPRCs		
	Construction of Building of new DPRCs and provision of basic equipment	The State has proposed budget estimate of Rs. 30.00 cr. for construction of 30 DPRC during 2013-14. The State has 30 districts. State further informed that all districts have land for the District Panchayat Resource Centre. Hence, allocation may be made for all the 30 districts. Further since all the districts have a Taluk Panchayat Resource Centre(Samarthya Soudha) already functional there is a vacuum at the district level. Hence, approval may please be accorded to all the 30 districts.	Approved Rs. 15 Crore only, under the condition that Rs. 50 lakhs per DPRC will be allotted to 30 DPRCs this year. DPRC would be established within ZP premises. Rest amount may be proposed by State in next year's Annual Plan.
4.3	Up-gradation of ETCs/District Institute of Panchayat Raj	Not proposed	--
4.4	Recurring cost on additional faculty and maintenance - Staff for Taluk Panchayat Resource Centre (Samathya Soudha)	The State has proposed the following : (i) 03 Resource Persons for Panchayati matters, schemes, finance management & training @ Rs. 25,000 for 6 months for all 176 Block Panchayats= Rs. 792 lakhs (ii) 01 Accountant @ Rs. 15,000 X 6 months X 176 Block Panchayats= Rs. 158.40 lakhs (iii) 01 Computer Operator @ Rs. 8000 for 6 months for all 176 Block Panchayats= Rs. 84.48 lakhs (iv) 01 post as Group D @ Rs. 6000 X 6 months X 176 Block Panchayats = Rs. 63.36 lakhs	Not Accepted. The unit costs for training are adequately liberal to allow for hiring of resource persons etc. as needed.

		<p>Total = Sum of (i) to (iv) is Rs. 10.98 Cr.</p> <p>State also clarified that under the World Bank aided Gram Swaraj Project, Taluk Panchayat Resource Centres have been constructed at 171 Taluk Panchayats. In 4 other Taluks it is in various stages of construction and shall be completed by Dec 2013. The TPRC has a training hall, separate dormitory space for men and women, office room, store room and other infrastructure like TV, DVD players with stabilizer, power generator and other furniture. There is SATCOM connectivity in all the TPRCs. These Taluk Panchayat Resource Centres are maintained and used for training of PRI representatives and functionaries. It is under the overall supervision of the Executive Officer of the Taluk Panchayat and linked to SIRD. As the state will not avail any funds for construction of TPRCs in any of the 176 Talukas, the State requests that the staffing proposed here for the TPRCs be approved for funding under RGPSA as these TPRCs may go into disuse without staff and upkeep of capacity building activities. Further the purpose of establishing TPRCs was to provide training to ERs especially EWRs suitable to local needs and requirement. Hence the proposal may be considered.</p>	
5	e-Panchayat		
a	Establishment of State E-Panchayat PMU (MIS Unit)	<p>The State has proposed budget estimate of Rs. 7.50 lakh for establishment of State PMU (MIS Unit).</p> <p>a. Manager (Rs. 50,000 X 1X6 mon)</p> <p>b. Technical Consultant (Rs.35,000X 1X6 mon)</p> <p>c. Accounts Expert(Rs. 30,000 X1X6 mon)</p> <p>d. Office Asst(Rs. 10,000 X1X6 mon)</p>	Accepted under the State Program Management Unit component of RGPSA.

b	Continuation of District e-Panchayat Monitoring Unit	The State has proposed Rs. 45 lakhs for District E-Panchayat & MIS manager - 30 districts X Rs. 25,000 p.m X 6 months	
6	Strengthening Panchayats process in Panchayats with inadequate resource base	The State has proposed Rs. 3 Cr. under this component for 600 GPs @ Rs. 50,000.	Accepted. However, a track of these 600 GPs is to be kept to see how the revenue base is increasing. A small write-up to be submitted by State Govt. on this subject.
7	Establishment of District Ombudsman	The State has proposed budget estimate of Rs.195.6 lakhs for establishment of 30 District Ombudsman Office. The State also elaborated that the Finance Commission as well as the Administrative Reforms Commission has mandated the creation of an Ombudsman post for every district. The ARC is also following up on this. This may be considered.	Not accepted, as this is not allowed in the guidelines.
8	Strengthening of SEC	The State has proposed budget estimate of Rs. 1.00 cr. for provision of a building for the State Election Commission as SEC in Karnataka does not have its own building. The State also commented that along with this awareness activities will be undertaken as a part of Annual Plan when proposed in 2014-15 closer to PRI elections due in 2015.	Not accepted. The State may come up with a more holistic plan for improving the election process.
9	Innovations	The State has proposed Rs. 2.00 cr. for innovation. A committee shall be created first for strengthening of Panchayati Raj. A State RGPSA Committee headed by the Principal Secretary, RDPR shall be constituted and proposals for innovation for good governance received will be scrutinised by the Committee and recommended to the Government of India.	The State was advised to prepare a detailed proposal, and submit its Innovation Proposal in next CEC meeting to be held in Oct, 2013.

10	IEC Activities	<p>The State has proposed budget estimate of Rs. 10.00 cr. for IEC activities @ Rs.1777/- each for 5269 GPs. The activity mentioned by State are as- motivate GP to push certain information as monthly accounts, bank balances, works undertaken etc. i.e. set of mandatory disclosures into public domain; Community monthly newsletter; motivate GPs to offer for direct telecast or Word/Gram Sabhas/ monthly meetings.</p>	<p>Approved, subject to ceiling of 1% of the total plan size. It was also decided to deduct the unspent balance of Rs. 3.00 lakhs available with State Govt, released last year.</p>
11	Programme Management Unit	<p>The State has proposed the following :</p> <p>(i) State level:- 01 Project Manager (@Rs. 50,000 X6 mon) ; 04 Project Assistant (@Rs. 25,000 X 6 mon) ;04 DEO (@Rs. 8000 X 6 mon) and 01 D Group Employee(Rs. 6000 X 6mon) = Rs. 11.28 lakhs</p> <p>(ii) District Level:- 30 Project Manager- 1 for each district (@ Rs. 25,000 X 6 mon); 30 Project Assistant (Rs. 15,000 X 6 mon); 30 MIS Operator (@Rs. 8000 X 6 mon) = Rs. 86.4 lakhs</p> <p>(iii) Block Level :-176 Project Manager(@Rs. 15,000 X 6 mon); 176 Project Assistant(@Rs. 10,000 X 6mon) and MIS Operator (@Rs. 8000 X 6 mon) = Rs. 348.48 lakhs</p> <p>Total is Sum of (i) to (iii) = Rs. 446.16 lakhs</p>	<p>Accepted, will be restricted to 5 % of the total approved budget. However, State was advised not to recruit any supporting staff but rather appoint thematic experts in areas such as Panchayat processes and social mobilization, Panchayat finance, planning etc.</p>

B. Perspective Plan and Annual Plan 2013-14 of Uttarakhand

Decision on Performance Parameters

SL.No.	Performance Parameters as indicated in Guidelines	State Goal for 2013-14	Decision by CEC keeping in view the status and target indicated by the State and the comments of Programme Division
1	Articulating an appropriate policy framework for providing administrative and technical support to Panchayats.	The State intimated that they will recruit staff such as Panchayat Development Officers, Data Entry Operator, Panchayat Sahayak/ Junior Clerk, Junior Engineer. The recruitment of staff will be through outsourcing agency. The qualification has been finalised i.e. graduation for PDO, commerce graduate with one year computer diploma for Accountant cum data entry operator, minimum 12 th pass for Panchayat Sahayak/LDC, diploma in civil engineering for JE etc.	State to develop and present a long term vision, strategy by next year.
2	Strengthening the financial base of Panchayats by assigning appropriate taxes, fees, etc.	The State intimated that strengthening to be done by Jan 2014. Further, the State intimated that taxation system at ZP level is good due to appointment of inspectors for collection of taxes. There are no taxes at the Kshetra Panchayat level and weak revenue generation at GP level. Gram Panchayats can levy property tax, but law caps Rs. 5.00/-	State mentioned in the meeting that new Act is going to include some activities which will enhance tax net for the GPs. CEC advised State to work further on devolving 3Fs to GPs and come up with a plan by end of this year.
3.	Provision of untied funds to Panchayats and timely release of SFC and Central Finance Commission (CFC) grants.	The State intimated by stating that already being followed.	State will inform the exact extent of untied funds being released to GPs as a proportion to the overall State revenue presently and how this will be enhanced further.

4	Ensuring devolution of funds, functions and functionaries. (Activity Mapping chart must be attached with the Perspective Plan.)	<ul style="list-style-type: none"> • Approx. 70 % of progress will be achieved in the current financial year • Devolution of 3Fs will be ensured in 14 subjects and all the related departments & ERs will be sensitized. 	State will conduct an exercise to undertake detailed Activity Mapping for functions to devolve at GP level and issue Order and provide copy to GOI by end of this year.
5	Preparing and operationalizing a framework for bottom-up grassroots planning and convergence through the DPC.	The State intimated DPCs have been constituted in all the Districts and copy of guidelines has been provided.	State may identify activities to facilitate decentralised planning. Specific action points are required from the State as target for this year under all parameters.
6	Ensuring free and fair elections, and making the SEC autonomous.	The State intimated already being followed. It will be ensured and made more effective. For strengthening of SEC, the State informed that activities such as election process or creating voter awareness will be taken up under IEC activity head.	Specific action points are required from the State as target for this year under all parameters.
7	Strengthening the institutional structure for capacity building of Panchayats, selecting suitable partners for capacity building, and improving outreach and quality of capacity building.	The State intimated that capacity building framework will be finalized and pool of resource persons and agencies will be formed. The goal of strengthening institutional structure through additional building & equipment at SPRC & construction of 02 DPRCs as well as hiring of manpower for training purpose may be accepted.	Accepted
8	Putting in place a system of performance assessment of Panchayats.	The State intimated that system already in place but will be made more effective and transparent. The State intimated that provision exists for maintaining family registers in all 7555 GPs, but currently maintained manually. The State has enclosed copy of on watch indicators developed under PEAIS	The State may identify "on watch" Panchayats and take up special activities to strengthen them.
9	Strengthening Gram Sabhas, promoting Mahila	The State intimated that work will be initiated and will be made more participatory and effective.	

	Sabhas/Ward Sabhas		
10	Institutionalizing accountability processes such as voluntary disclosure of information and social audit.	The State informed that all the GPs are undergoing the process of social audit. Further they stated that under the RGPSA scheme, proper social audit system as well as monitoring mechanism will be put in place.	The State was advised to send revised proforma and need to mention specific action points as target for this year.
11	Strengthening the system of budgeting, accounts and audit, including use of e enabled processes. Maintenance of Panchayat accounts on-line at least for District and Intermediate Panchayats. Issuing of guidelines/rules for voluntary disclosure of budget and accounts by Panchayats.	The State informed that target for providing online accounting at GP level will be timely ensured.	
12	Ensuring compliance of State laws and rules with PESA.	NA	

Decision of Central Executive Committee (CEC) on activities proposed in Annual Plan 2013-14 :

State: Uttarakhand

SL. No.	Activity Head	Details furnished by State	Decision of CEC
1	Administrative and technical support at GP level	<p>Existing Staff position at GP level: At present 368 Gram Panchayat Vikas Adhikari are available in 7709 GPs. At block level, 01 BDO, 02 ABDOS, 02 JEs, 05 Clerical staff are available. The State intimated one Gram Panchayat Vikas Adhikari (GPVA) on an average caters services to around 7 to 10 GPs which may adversely affect the work whereas 01 GPVA covering 03 GPs may create a positive impact. All the departments in the State hire the staff through outsourcing agencies, the same process will be followed in RGPSA programme.</p> <p>The State has proposed engagement of 1405 PDOs @ Rs. 10,000/-p.m, 1405 Data Entry Operator @ Rs. 7000/-, 2570 Panchayat Assistant/ Junior Clerk 02 GP/Person @ Rs. 5000/- per month, 285 JE (03/block) @ Rs. 9000/- etc. The State intimated that staff will be selected through outsource, the agency will be hired through tender process/ procedures laid down by the State Govt.</p> <p>The State has proposed Rs. 39.42 cr. in total under this component.</p>	Engagement of only 1100 no. of DEO cum Accountant @ Rs. 7000 p.m. was approved which will back up Gram Panchayat Vikas Adhikari. The State Government may first take steps to ensure devolution and then come up with a provision for staffing as per the activities devolved to GPs next year.
2	GP Buildings		

	Construction and repair of Gram Panchayat Bhawans	<p>(i) The State had proposed Rs. 45.00 cr. (total budget of Annual Plan for 2013-14= Rs. 111.39 cr.) for construction of 125 GPs @ Rs. 12.00 lakh/GP as well as repair of 1500 GP Buildings @ Rs.2.00 lakh/GP.</p> <p>(ii) State intimated that excess funds upto 40.39 cr. % of total annual budget (2013-14) has been proposed due to hill terrain with limited resources, to ensure e-Panchayat enablement at every GP as well as recent disaster damaged the GP buildings.</p> <p>(iii) <u>The State has enclosed list of 125 GPs for new construction and informed list of 1500 GPs proposed for repair work will be submitted shortly.</u></p>	Accepted under the condition of maximum ceiling of 25 % of the total approved plan size. The State may provide the list of GPs where repair work is to be undertaken.
3	Capacity Building and Training		
a	Exposure visits within/ outside State	<p>The State has proposed exposure visits within/outside of the State for (2048+878)=2926 personnel at a cost of (Rs. 73.73 lakh + Rs.175.60 lakh)=Rs. 249.33 lakh. Proposed rate is Rs. 1200 per participants within State (as per RGPSA norms) and Rs.2000 per participant for outside State visits.</p> <p>The State has indicated that the target group for exposure visits will comprise Pradhan and, Kshetra Panchayat Pramukh.</p> <p>The State informed that exposure visits will also be ensured for Elected Women Representatives (EWR).</p>	Not accepted, as elections are due soon. State was advised to come back next year for the newly Elected Panchayat members.
b	Evaluation of training	The State has proposed a lump sum assistance of Rs.5.00 lakh for evaluation of training programme within RGPSA norms.	Not accepted.
c	Training of ERs and Functionaries	The State has proposed budget estimate of Rs. 10.68 cr. for training of 80421 participants. The State has proposed category-wise cost as per RGPSA Norms. The State has	Training of 2000 functionaries and ERs of Haridwar district was accepted, as elsewhere, elections

		<p>proposed category-wise training of ERs and Functionaries as under :</p> <p>(i) President and Vice President ZP = 26 x Rs. 1816x03 days= Rs.1.42 lakh</p> <p>(ii) ZP Members and Kshetra Panchayat Pramukh =454 personnel x03 daysxRs.1455=Rs.19.82 lakh</p> <p>(iii) Kshetra Panchayat Members & Pradhans = 10379xRs.738x 03 days = Rs.229.79 lakh</p> <p>(iv) GP Members = 47167 participants x Rs. 377 x 03 days = Rs. 533.46 lakh</p> <p>(v) GP Members of SC/ST/Women/OBC/Women Pradhan=16308 participantsxRs.377/-x02 days = Rs.122.96 lakh</p> <p>(vi) Gram Panchayat Vikas Adhikari = 422 participantsx21 daysxRs.780=Rs.69.12 lakh</p> <p>(vii) PDO/Sahayak 3975 participantsx03daysxRs.541/- =Rs.64.51 lakh</p> <p>(viii) Junior Engineers = 285 participants xRs.531x03 days=Rs.4.54 lakh</p> <p>(ix) Computer Training for 1405 participantsxRs.538x03 days=Rs.22.68 lakh</p> <p>Total Amount = Rs. 1068.30 lakh</p>	<p>are due. State Govt. was advised to revise their training program accordingly.</p>
4	Institutional Structure		
4.1	SPRC		
a	Additional Building and Equipment	<p>The State has proposed Rs. 1.00 cr. for strengthening of SPRC at Dehradun as the UIRD is overburdened with RD training programme. State has further informed that land has been identified and necessary approval is under process. SPRC is proposed separately at Dehradun due to following reasons:</p> <p>i. The State need to train around 55 - 60000 ERs at GP level. It will be possible if the training Institutes/Agencies are available under Panchayati Raj Dept.</p>	<p>Not Accepted. State was advised to use existing facility/ infrastructure structure of SIRD.</p>

		<p>ii. The SPRC has been proposed at Dehradun because earmarking of land for the purpose as well as easy to monitor activities by the senior officials at State or Directorate level.</p> <p>iii. It is also proposed to establish 01 DPRC of Garhwal region at Dehradun in same campus for pooling of experts and facilities. DPRC will also cater training services of nearby Districts.</p> <p>iv. The clubbing of SPRC and DPRC will save funds on account of construction. Secondly, the land cost is not involved hence State will be able to establish the SPRC with budgetary provision of Rs.1.00 cr.</p>	
b	Recurring cost on additional Faculty and maintenance		
i	Additional faculty at SPRC (for 05 faculty)	There are at present 05 faculty members at UIRD. The State has proposed Rs. 16.80 lakh for 04 Training Faculty.	Approved for 04 faculty members only.
ii	Supporting staff and other expenses at SPRC	<p>The State has proposed budget estimate of Rs. 22.96 lakh for following support staff at SPRC :</p> <p>(i) 01 Office Manager @ Rs. 25,000/- = Rs.3.00 lakh</p> <p>(ii) 02 Support Staff (computer operator/ accountant) @ Rs. 15000/- = Rs. 3.60 lakh</p> <p>(iii) 04 Peon/cook/helpers/attendants @ Rs. 7000/- p.m=Rs.3.36 lakh</p> <p>(iv) Stationery/consumables/repair/maintenance/electricity/travel etc. = Rs. 7.00 lakh = Rs. 6.00 lakh + Rs. 3.00 lakh + Rs. 3.60 lakh + Rs. 3.36 lakh + Rs. 7.00 lakh=Rs.22.96 lakh.</p>	Only 02 supporting staff was approved.
4.2	New DPRCs		
	Construction of Building of new DPRCs and provision of basic equipment	<ul style="list-style-type: none"> The State has proposed total estimated cost of Rs. 1.00 crore @ Rs. 50 lakhs per DPRC for 2013-14 for construction of 02 DPRC out of 04 new DPRCs proposed in perspective plan. The State has 13 districts. In addition, 08 ETCs (Pithoragarh, 	Approved, subject to condition that it should be established in districts which do not have ETCs.

		<p>Almora, Rudrapur, Nainital, Pauri, Chamoli, Haridwar, Dehradun) are available in the State.</p> <ul style="list-style-type: none"> • The State intimated that design and cost estimate will be developed and made available soon to the MoPR. • The new DPRC will be constructed in Garhwal and Kumaon region. The DPRCs will have minimum infrastructure viz. training/meeting hall, 02 rooms for training, one store room, kitchen, reception, dormitory/ hostel facility. In Garhwal it has been proposed establish one centre in Dehradun and one in Chamoli. In Kumaon Region one centre will be established in Champawati and the other in Bageshwar. 	
4.3	Recurring cost on additional faculty and maintenance		
i	Faculty at New DPRC (02 faculty)	<p>The State has proposed budget estimate of Rs. 7.20 lakh for 02 Training Faculties @ Rs. 15000/- p.m = Rs. 7.20 lakh</p> <p>The State has also proposed 01 District Training Coordinator @ Rs. 20000/- p.m = Rs. 4.80 lakh</p>	Approved
ii	Supporting Staff at DPRC	<p>The State has proposed Rs. 8.00 lakh for following support staff & stationery at DPRC :</p> <p>(i) 01 Support Staff (computer operator/ accountant)= Rs. 2.40 lakh</p> <p>(ii) 02 peon/cook/helpers = Rs. 2.40 lakh</p> <p>(iii) Stationery/consumables/repair/maintenance/electricity/travel= Rs. 3.20 lakh</p>	Only one Support Staff was approved.
4.4	Up-gradation of ETCs/District Institute of Panchayat Raj	Not proposed	--
4.5	BPRCs		

	Establishment of Block PRCs	The State has proposed budget estimate of Rs. 2.00 crore for development of 20 BLRCs (@ Rs. 10.00 lakh per unit) during CY. The State informed that BLRCs to be established in Non BRGF Districts.	Accepted. However, State to provide list of the blocks.
5	e-Panchayat		
	E-Technical Assistant for Blocks (146 nos.)	The State has proposed purchase of computers, UPS, printers for 1000 GPs @ of Rs.40,000 per unit.	The decision was deferred for the next CEC meeting. State was advised to review the status of its PRIs and submit a realistic plan in the next CEC meeting.
6	Panchayat processes for Panchayats with inadequate resource base	The State has proposed Rs. 1.00 cr. @ Rs. 50,000/ per GP for 200 GPs. No list of GP has been provided. The State has intimated that the panchayats poor on watch indicators and are at bottom of PEAIS proposed under this head. Following main activities will be ensured under this head: i. Regular GP meetings. ii. Regular meetings of sub committees. iii. Ensuring maximum attendance in the meetings. iv. Assistance in Annual Plan and Budget preparation to the GPs. v. Collection of tax and generating revenues. vi. Ensuring transparency and accountability system at GP level. vii. Drinking water, sanitation, Education and other programmes implementation through GPs. viii. Ensuring proper training and capacity building to the ERs ix. Maintenance of family register, birth & death register etc. x. Updating of all the CAG prescribed accounting formats and online maintenance of records.	Not accepted. State to come back after framing criteria for providing these funds based on the revenue capacities of the Panchayats.
7	IEC Activities	The proposed activities of IEC are display of information at	Accepted, subject to ceiling of 1%

		District, Regional and Panchayat level through posters, pamphlets, banners, print media, radio, wall paintings, street shows etc. Further, the State intimated 10% of the amount will be kept at directorate level for IEC and 90% will be transferred to the districts.	of the approved plan size.															
8	Programme Management Unit	The PMU will be set up at State and District level. The State has proposed Rs. 6.69 cr.	Accepted, subject to ceiling of 5% of the approved plan size. State to ensure to hire on thematic experts. Location, structure, functions, roles & responsibilities are required to be defined clearly for setting the PMU.															
9	Innovations	Innovation budget of Rs. 2.00 cr. has been proposed for computerisation of family registers, birth & death certificate, uploading of MoM of GPs & Gram Sabhas, making available formats etc. The State informed that Project design will be developed and submitted soon.	Not approved. State may further seek support from e-Panchayat Division to address the mentioned challenges.															
10	Strengthening of SEC	The State has proposed Rs. 0.3968 cr. for strengthening of SEC. The State has proposed following items: <table border="1" data-bbox="683 938 1265 1398"> <thead> <tr> <th></th> <th>Item</th> <th>Amount (in lakh)</th> </tr> </thead> <tbody> <tr> <td>i</td> <td>LCD Projector and accessories for the SEC hq.</td> <td>1.00</td> </tr> <tr> <td>ii</td> <td>Furnishing meeting hall at SEC hq.</td> <td>10.00</td> </tr> <tr> <td>iii</td> <td>13 fax machines for 13 district offices of SECs (unit cost Rs. 7600/-)</td> <td>0.98</td> </tr> <tr> <td>iv</td> <td>15 Photostat machines (02 at SEC hq. + 13 at district offices)</td> <td>7.50</td> </tr> </tbody> </table>		Item	Amount (in lakh)	i	LCD Projector and accessories for the SEC hq.	1.00	ii	Furnishing meeting hall at SEC hq.	10.00	iii	13 fax machines for 13 district offices of SECs (unit cost Rs. 7600/-)	0.98	iv	15 Photostat machines (02 at SEC hq. + 13 at district offices)	7.50	Not accepted. State was advised to discuss this further with SEC, and prepare a holistic plan for bringing about improvement in the election process.
	Item	Amount (in lakh)																
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	Total	39.68													
11.	Assistance for PESA areas	N.A													
12	Other Items														
i	Activity Mapping	The State has proposed activity mapping of 15 subjects @ Rs. 5,000/- per block for 95 blocks	Not accepted.												
ii	Sensitisation workshop for devolution of panchayats 03 at State/ 13 at district/ 95 at block level for 01 day for 3330 participants @ Rs. 2000/- per participant	The State has proposed Rs. 66.60 lakh for sensitisation workshop for devolution of panchayats.	After devolution, State may built this in the CB&T component of Rajiv Gandhi Panchayat Sashaktikaran Abhiyan next year.												

C. Perspective Plan and Annual Plan 2013-14 of Sikkim

Decision on Performance Parameters

SL. No.	Performance Parameters as indicated in Guidelines	State Goal for 2013-14	Decision by CEC keeping in view the status and target indicated by the State and the comments of Programme Division
1	Articulating an appropriate policy framework for providing administrative and technical support to Panchayats.	a) To elevate the present Secretary (Sachiva) of the Gram Panchayat as General Secretary and instead have a Govt. functionary appointed as Sachiva to have Accountability.	Accepted.
2	Strengthening the financial base of Panchayats by assigning appropriate taxes, fees, etc.	a) To increase the percentage share of net proceeds receivable from the State Government.	State to set goal to develop data on revenue & expenditure for this year.
		b) To prepare a consolidated data base on revenue and expenditure of Gram Panchayat units.	
		c) To transfer funds meant for GPs directly to them, with intimation of such fund transfers communicated to Additional District Collector (Development) and other relevant functionaries.	
3.	Provision of untied funds to Panchayats and timely release	a) Provision of Untied funds to Zilla Panchayats. The State provides Rs. 6 lakhs per Gram	

	of SFC and Central Finance Commission (CFC) grants.	<p>Panchayat and Rs. 25 lakhs per Zilla Parishad as untied grants.</p> <p>b) Timely release of State Finance Commission Grants</p> <p>c) Timely release of Central Finance Commission Grants</p>	State Govt. to share a report with Ministry of Panchayati Raj of collaborative studies conducted by Prof. Dafflon on Sikkim State Devolution on Fiscal Federalism.
4	Ensuring devolution of funds, functions and functionaries. (Activity Mapping chart must be attached with the Perspective Plan.)	The State of Sikkim has taken devolution very seriously. Therefore, Mr Bernard Dafflon, Professor of Public Finance and Local Governance at the University of Fribourg, Switzerland was engaged as Consultant to make a study of the level devolution in the State and suggest further steps.	
5	Preparing and operationalizing a framework for bottom-up grassroots planning and convergence through the DPC.	<p>a) Devise a suitable mechanism by which 'Sabhapaties' of Gram Panchayats can be included in the DPCs on rotation basis annually.</p> <p>b) The District Plans to be integrated into the State Plan as per guidelines in force.</p> <p>The PRIs and Municipalities are the basic planning units. Participative plans are prepared in each GPs, ZPs and Municipalities on the following basis:</p> <ol style="list-style-type: none"> i. Assessment of prevailing situation through SWOT analysis ii. Available resources and amenities iii. Prioritization of the needs of the local people. <p>At the Gram Panchayat level, plans are first discussed and prepared through the Ward Sabhas. These plans are then consolidated into GP plans, if approved by Gram Sabhas. The participative Plans of GPs, ZPs and Municipalities are included in the District Plans and vetted by the District Technical Support Committee.</p>	Accepted.

		<p>Only those that are approved by the District Planning Committee (DPC) constitute the District Plan. Those are then integrated into the Integrated District Plan.</p> <p>Following the steps already outlined, a new committee i.e. Ward Level Development Committee (WLDC) has been constituted which is a 12 member Committee comprising of government officials, Panchayat Members and retired personals for all 987 wards. Their task is to prepare draft plans and place it before the respective Ward Sabhas, the passing of which by the Ward Sabha will be placed before the Gram Planning Forum (GPF). A vibrant planning body at the GP level, the GPF has the most creative role to play. It assists the local government in developing a vision of what it should achieve- visions based on experience, expertise and field reality as revealed from analysis of data. It is felt that this will further enhance their efficiency and bring about results from the grass root level that are practical and need driven. Then the GPF will further scrutinises the plan and place it before the Gram Sabha following which it is placed before the DPC for approval.</p>	
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		<pre> graph TD IDP([Integrated District Plans]) --> DPC[District Planning Committee] DPC --> ULB[ULB Plans] DPC --> PRI[PRI Plans] PRI --> GP[GP Plans] PRI --> ZP[ZP Plans] GP --> WS1[Ward Sabha] GP --> WS2[Ward Sabha] GP --> WS3[Ward Sabha] GP --> WS4[Ward Sabha] GP --> WS5[Ward Sabha] </pre>	
6	<p>Ensuring free and fair elections, and making the SEC autonomous.</p>	<p>a) State Election Commission (SEC) is an autonomous body established on 3rd November, 1995</p> <p>b) State Election Commissioner is appointed by Governor for a period of 5 years and shall not be below the rank of Secretary in the State Govt.</p> <p>c) The State will undertake activities to impart education, awareness on the issues at GP/ZP levels in this category.</p>	Accepted
7	<p>Strengthening the institutional structure for capacity building of Panchayats, selecting suitable partners for capacity building, and improving outreach and quality of capacity building.</p>	<p>Included in SIRD CB&T plan proposal.</p>	Accepted.

8	Putting in place a system of performance assessment of Panchayats.	The State undertakes Performance Assessment of Panchayats. Backwardness index is being prepared at the State level which will indicate the Gram Panchayats that are not efficient and should be kept 'on watch'.	Accepted
9	Strengthening Gram Sabhas, promoting Mahila Sabhas/Ward Sabhas	<ul style="list-style-type: none"> a) Mahila & Gram Sabhas mandatory before the actual Gram Sabha b) In order to make Gram Sabha more vibrant, video cameras will be provided to all GPs. 	Accepted
10	Institutionalizing accountability processes such as voluntary disclosure of information and social audit.	Social audit-cum-vigilance committee to also perform social audit for the works/schemes of all the line departments as per the activity mapping, irrespective of whether the works/schemes are implemented by the local bodies or directly by the line departments.	Accepted
11	Strengthening the system of budgeting, accounts and audit, including use of e enabled processes. Maintenance of Panchayat accounts on-line at least for District and Intermediate Panchayats. Issuing of guidelines/rules for voluntary disclosure of budget and accounts by Panchayats.	<ul style="list-style-type: none"> a) The Panchayat Account Assistant (PAA) for GPs & accounting personnel of ZPs are to be intensively trained in the preparation of budgets, maintenance of accounts and database management b) PRIA Soft has been implemented in both the Zilla & GP levels respectively for accountability & transparency, 2013-14 being the online year for the State of Sikkim. 	Accepted
12	Ensuring compliance of State laws and rules with PESA.	N.A.	--

Decision of Central Executive Committee (CEC) on activities proposed in Annual Plan 2013-14 :

State: Sikkim

S. N	Activity Head	State Proposal for 2013-14	Decision of CEC
1	Administrative and technical support at GP level	State had proposed Rs. 373.68 lakhs following under this component: a) Panchayat Development Assistant (PDA)/BAC cum Data Entry Operator (DEO)- 182 no. @ Rs. 12,000 p.m for 12 months = Rs 262.08 lakhs b) 02 JE/Technical Assistant per block level i.e. 62 in no. for 31 blocks @ Rs. 15,000 p.m. for 12 months = Rs. 111.6 lakhs	For point a) Approved only for 176 no. of PDA/BAC cum DEO - 1 at each GP. Point b) - Approved
2	GP Buildings		
	Construction and repair of Gram Panchayat Bhawans	State has proposed Rs. 356.25 lakhs as: a) Construction of New GP Buildings- 11 new GP buildings @ Rs. 18.75 lakhs = Rs 206.25 lakhs b) Repair of toilets, drinking water and electricity in existing GP buildings = 40 GPs @ Rs. 3.75 lakhs = Rs. 150 lakhs State has provided reason for the higher proposed amount of funds as: <ul style="list-style-type: none"> ➤ Hilly area accounting to difficult terrain resulting in higher cost of construction ➤ Higher Transportation cost to remote areas ➤ High cost of materials ➤ High cost of labour 	Approved point a) @ Rs. 12 lakhs + 25 % escalation cost and point b) @ Rs. 3 lakhs + 25 % escalation cost
3	Capacity Building and Training		
a	Training of PRI functionaries of the	State has proposed Rs. 13.05 lakhs for training + workshop +awareness camps for 29 Block Administrative Centres (BAC)	Accepted.

	lower tiers at the district/sub-division/block levels (Proposed under PMEYSA)	@ Rs. 45,000 per BAC. State will impart training on issues such as e-Governance; Workshops on Disaster, Sports & School Management.	
b	Sammelans (Proposed under PMEYSA)	State has proposed Rs. 6 lakhs for 02 <i>Sammelans</i> '.	Accepted.
c	Development of Training Modules (Proposed under PMEYSA)	State has proposed Rs. 25,000 for printing material and magazines.	Accepted.
d	Exposure visits outside State (Proposed under PMEYSA)	The State has proposed Rs. 4 lakhs for Study Tour of 25 EWRs, 10 EYRs & 10 other participants.	Accepted.
e	Establishment & Maintenance of Helpline at the State & District level	State has proposed a lumpsum amount of Rs. 2.5 lakhs to set up a toll free helpline service. The proposed amount has been earmarked for meeting the salary component & the recurring cost of the service.	Proposal withdrawn by State Govt.
f	Training of PRIs functionaries of the lower tiers at the district/sub-division /block level	State has proposed an amount of Rs. 8.0 lakhs for 04 districts @ Rs. 2 lakhs per district.	
g	Support to local body for facilitation of community based & community owned participatory planning process	State has proposed an amount of Rs. 5 lakhs to sensitise the Panchayats in the process of decentralized planning and help them in preparation of holistic as well as District Plans. 4 districts X Rs. 1.25 lakhs per district = Rs. 5 lakhs	
h	Support for Gram Sabha & Ward Sabha level campaigns	State has proposed a lumpsum amount of Rs. 6 lakhs for strengthening and functioning of Gram Sabha.	

i	Technical Assistance for planning & implementation , accessing and setting up & maintenance of MIS	The State has proposed a lumpsum amount of Rs. 2 lakhs for further Capacity Building & hand holding for MIS; training programmes as per need and requirement.	
j	Support for Action Research, Monitoring , Auditing etc.	State has proposed Rs. 2 lakhs for engaging youth and NGOs to take up action research in field of Panchayati Raj & Rural Development.	
k	Development of Training Material including film and electronic material	State has proposed Rs. 5 lakhs for procurement of Training Materials (electronics/print) at the State level/SIRD	
l	Exposure visit within State	No funds asked for.	---
m	Evaluation of training	No funds asked for.	---
m	PEAIS	State has proposed Rs. 5.00 lakhs to carry out field visits, other activities etc.	State Govt. was suggested to access this separately under Incentivization Scheme.
4	Institutional Structure:		
a	Additional Building and Equipment	The State has proposed a lump sum amount of Rs. 30 lakhs for strengthening of the training infrastructure at the existing SIRD facility.	Proposal withdrawn by State.
b	Recurring cost on additional Faculty and maintenance	The State has proposed a lumpsum amount of Rs. 35 lakhs for support to SIRD/Urban Institute * other institutions for development of trainers, TNA, workshop& training of representatives & functionaries of Panchayats/ municipalities.	
c	Construction of Building of new DPRCs and provision of basic equipment	No funds asked for.	---

d	Upgradation of ETCs / District Institute of Panchayati Raj	State has proposed a lumpsum amount of Rs. 20 lakhs for 04 no. of facility @ Rs. 5,00,000 each for focussed CB&T activities on PRIs. The state proposes to partner with 3 institutes:- 1. Accounts & Administrative Training Institute (AATI), Gangtok 2. Institute of Hotel Management (IHM), Gangtok 3. State Legal Services Authority (SLSA), Gangtok	This component can be taken up under Capacity Building & Training component. State was advised to reframe and put these accordingly.
e	Recurring cost on additional faculty and maintenance of DPRC	No funds asked for.	---
5	Distance learning Facility through SATCOM or IP based technology	No funds asked for.	---
6	Intermediate / Block level Resource Centre (BLRCs)	The State has proposed an amount of Rs. 47.52 lakhs as a Support to set up Resource Centres at Intermediate Panchayat level. The State has proposed deployment of 30 (in no.) Field Facilitators @ Rs. 13,200 per month for 12 months under this component for effective Capacity Building at the grass root level.	Proposal withdrawn by State Govt.

7	e-Panchayat	<p>i) For Computer , UPS and Printer – State has proposed an amount of Rs. 72 lakhs for 180 units @ Rs. 40,000 per system. (176 GPs have 1 system each which is fully functional with all peripherals.)</p> <p>ii) Program Management Unit for e-PRI- Rs. 36.36 lakhs STATE PMU – Rs. 14.76 lakhs</p> <ul style="list-style-type: none"> • 01 State Project Manager XRs. 60,000 p.m X 12 mon = 7.2 lakhs • 01 Technology Consultant XRs. 35,000 p.m X 12 mon = Rs. 4.2 lakhs • 01 Office Assistant X Rs. 8000 p.m X12 = Rs. 96,000 • 01 State Coordinator XRs. 20,000X 12 = Rs. 2.4 lakhs <p>DPMU --- 21.6 lakhs</p> <ul style="list-style-type: none"> • 04 District Project Manager X Rs, 25,000 p.m X 12 mon = 12 lakhs • 04 Assistant District Manager X Rs. 20,000 p.m. X 12 mon = 9.6 lakhs <p>iii) Training Programme -90 lakhs</p> <ul style="list-style-type: none"> • Training is proposed for all 4 ZPs & 176 GPs for the following applications:- • PRIASoft • Action Soft • Awareness for Service Plus • Follow up on Implementation <p>iv) Administrative and Miscellaneous Expenses – Rs. 10 lakhs</p> <p>Total Sum of i) to iv) is Rs. 208.36 lakhs</p>	<p>Point i) accepted for 176 GPs only. State was also directed to send the list of GPs where computer be installed and confirmation that no computer is available in these Panchayats.</p> <p>Point ii) to be taken up as RGPSA Program Management Unit component.</p> <p>Point iii) to be taken up as RGPSA Capacity Building & Training Component.</p> <p>Pointt iv) to be taken up as RGPSA Program Management Unit component.</p>
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8	Panchayat processes including provision of civic services to Panchayats with inadequate resource base	<p>i) Support to Panchayat Processes with inadequate resource base for 141 GP (as 80% of total GPs have inadequate resource base) units @ Rs. 50,000/- per Panchayat =Rs. 70.50 lakhs. These were identified based on locational disadvantage, low literacy, limited access to civic amenities, high concentration of Scheduled Tribe/Castes and very low revenue collection, 80% of the GPs were identified as having inadequate resource base.</p> <p>ii) Gram Sabha Orientation for 176 GPs @ Rs. 10,000 = Rs. 17.6 lakhs</p> <p>Total = Rs. 88.1 lakhs</p>	Not approved. State to develop some criteria for identifying 10% GPs with low revenue base.
9	Strengthening of State Election Commission (SEC)	No funds asked for	---
10	Innovation	<p>The State has proposed Rs. 200 lakhs under this component for establishing <i>Knowledge-cum-Training</i> centre in Gangtok. It is felt that the lone training institute SIRD is located at South Sikkim and is convenient only for PRIs of South and West Districts. Therefore, it is felt that a small knowledge-cum- training centre be established in Gangtok to cater to the immediate needs of PRIs of East and North Districts. The knowledge centre would have the following facilities:-</p> <ul style="list-style-type: none"> • Training Room cum lab with Computers and internet connectivity • Conference room • Library • Studio at State level for distance learning facility through SATCOM which has been included in the Perspective RGPSA Plan of 2014-15, 2015-16 & 2016-17 as per the guidelines • Support office for Panchayat Functionaries 	Not approved. This may be proposed under DPRC component. The proposal may be reframed.

		Thus the Knowledge Centre will truly be able to disseminate knowledge thereby contributing to the development of the on State on the whole and PRIs in particular.	
11			
a)	T.V & Radio Programmes	State has proposed Rs. 4 lakhs for broadcasting programmes in Bhutia , Lepcha and Nepali languages, largely spoken by SC community for general public.	Approved subject ceiling of 1% under IEC
b)	Panchayati Raj Newsletter	State had proposed Rs. 2 lakhs for monthly newsletter " <i>Panchyat Pratibimba</i> " in local language for spreading awareness on relevant schemes & issues.	
12	Programme Management (Proposed under PMEYSA)	<p>The State has proposed following for 2013-14:-</p> <p>i) Staff Cost of the State Support Centre at Gangtok- 01 Co-ordinator (Rs. 15,000 p.m. X 12 months) ; 01 Co-ordinator (Rs. 12,000 p.m. X 12 months) ; 02 Associates (Rs. 8,000 p.m X 12 months) = Rs. 5.16 lakhs</p> <p>ii) Office Expenditure- Rs. 18,000 p.m. X 12 months ; Office Expenditure for District Committee - Rs. 5,000 p.m. X 04 Districts X 12 months = Rs. 4.56 lakhs</p> <p>iii) Office Vehicle - Rs. 4 lakhs</p> <p>Total = Sum of(i) to (iii) = Rs. 13.72 lakhs</p>	Approved, subject to ceiling of 5% of the total approved plan size. State to ensure deployment of only thematic experts.