

Uttar Pradesh➤ General observation

The CEC in its second meeting held on 20.07.2014 approved annual plan proposal for Rs. **13562.573** lakh for the FY 2015-16 for Uttar Pradesh. Major observations of CEC on Training & Capacity Building Plan of RGSA for FY 2016-17 are as follows:

1. Physical & Financial Progress:

- State had an unspent amount of Rs.42.79 Cr. during FY 15-16 and was released Rs. 11.00 Cr. during FY 15-16. State has reported an unspent balance to the tune of Rs. 17.24 Cr. The most of the expenditure has been incurred on GPDP training. However, State's physical progress report is not in accordance with financial progress reported in as much as numbers of trained persons are not commensurate with the expenditure incurred. State may give a detailed statement of training completed.

2. GPDP:

- State has informed that 4500 Gram Panchayat Development Plans (GPDP) have been entered on Plan-Plus portal and remaining 1500 Plans would also be entered on Plan Plus portal very shortly.

3. Training of ERs on GPDP:

- State has reported that basic orientation training of ERs has been sponsored through State's fund. Under this training, training of resource persons at district level has been completed and further these resource persons would provide training to ERs at GP level. State was requested to update the progress under this component.
- It was observed that for GPDP, State has focussed on trainings of Panchayat functionaries rather than of ERs. Hence, it was advised to complete Training of ERs on GPDP at GP Level on priority basis. These trainings may be conducted in campaign mode for two days as one day training would be inadequate, especially in view of the fact that the panchayats have only recently commenced their tenure, and basic orientation has not been completed.
- The State was asked to clarify why training of only 50% of ERs was proposed. It was clarified by the State that the State met training requirement from other sources. However CEC demanded clarity on the quantum of training and where training expenditure was booked, for processing the present CB proposal. The State agreed to provide the details.
- State was required to augment its trainer base in view of the very large target for training. State has proposed training of 5 active members of Task Force at Gram Panchayat level. This was approved under CB&T component.

4. Other than GPDP Training:

- Community Led Total Sanitation (CLTS) Trainings have been conducted at District and Block levels for functionaries and community based stakeholders. CEC pointed out that the same training had to be taken up to the GP Level for the last mile on addressing sanitation issues at the grassroots level. It was also pointed out that ERs needed to be trained as they would have to take ownership as change agents for sanitation. State was advised to submit modified proposal in this regard.
- CEC noted with appreciation the focus on Gender Issues and Women empowerment during this FY to keep in view of Sustainable Development Goals including own source revenue (OSR) and PES applications for trainings under GPDP (Other than) for ER including EWR and Panchayat Functionaries.

5. Institutional Structures:

- SPRC was not recommended as State has sufficient infrastructure at State level for Panchayat trainings.

- State has proposed for construction of 43 DPRCs. On discussion, State was advised to revise the numbers of DPRCs keeping view existing 33 DIRD and 17 RIRD. On discussion, State requested for 25 DPRC where RIRD and DIRD did not exist. CEC agreed for 10 DPRC for this year.

6. HR for SPRC & DPRC:

- State was requested to send the details of faculty viz domain, qualification and honorarium etc for SPRC and DPRC.
- Cost of faculty for 25 DPRC was approved. State was advised to make operational these DPRCs in rented premises or available government office space.

Following Activities were approved by CEC:-

Budget Summary

		<i>Amount in Lakh</i>
S. No.	Head	Approved Cost
A	Capacity Building GPDP activities	6619.421
	A1. Carry over activities	3573.480
	A2. New Activities	3045.94100
B	Capacity Building non-GPDP activities	2339.39
	B1 Carry over activities	Not proposed
	B2 New Activities	2339.39
C	Institutional Infrastructure	
	Non recurring Cost	2000.0
	Recurring Cost	290.00
D	HR cost of E-Governance (TSG)	102.00
E	Project cost (A-D)	11350.81
F	PMU (5% of S.No.1D)	394.80
G	IEC 1% of total cost (1% of S. No. D)	113.50
	Total Project cost	11859.11

Component wise breakup of the activities sanctioned is at annexure

A. Capacity Building and Training for GPDP
(Details of carryover activities into 2016-17)

Amount in lakh

Sl. No.	Name of the activity (Training for GPDP)	Annual Plan 2016-17 (Carryover activity)				Decision of CEC
		Unit	Days	Rate	Total	
1a	ERs Gram Panchayat (other than sarpanch / upa sarpanch) <i>Orient newly elected representatives about GPDP & Panchayati Raj system and Roles and responsibilities. 2 days @ Rs 500 for remaining wards members of GP who were not trained last year.</i>	355348	2	500	3553.480	Approved State was requested to impart training to left out ERs of GP on priority.
II	Development of Training Modules (Upto 5 lakh per State/ per year)	1		5	5.0	Approved
III	Development of Training Material including film and electronic material (Upto 10 lakh per State/ per year)	1		10	10.0	Approved
VI	Training need Assessment	1		5	5.0	Approved
	Total GPDP carry over				3573.480	

A.2. Details of new activities of 2016-17 (GPDP)

Sl. No.	Name of the activity (Training for GPDP)	Annual Plan 2016-17 (New activity)				Decision of CEC
		Unit	Days	Rate	Total	
1	Training of MTs/trainers State level	521	6	1850	57.831	Approved
2	Training of Task Force for Gram Panchayat. 5 active members from every GP i.e. 5 x 59073 = 295365	295365	2	500	2953.65	Approved
M	CB for convergence <ul style="list-style-type: none"> • Consultative workshops • Joint trainings <ol style="list-style-type: none"> 1. One day State level Consultative workshop with Panchayat and line departments on the issue of convergence (convergence with MGNREGA, SRLM, WCD, Health)] 2. [One day State level Media workshop for Information dissemination and Environment generation] Total no. of participants in one workshop- 80	80	2	1850	2.96	Approved <i>However, the State may provide the details of participants.</i>
3	Exposure visits outside State (Three exposure visit to three states (Kerala, Telangana and Jharkhand) having 15 members comprising of ERs and Functionaries including those of 10 GPs selected as PLC (Panchayat Learning Centres) in every batch	45	7	10000	31.500	Approved
	Total CB&T new GPDP				3045.94100	
	Total CB&T (carryover +new) GPDP				6619.421	

B1. Details of carry forward activity (CB&T other than GPDP) : Not proposed by the State

B2. Details of new CB&T Activity (other than GPDP)

Sl. No.	Name of the activity (CB other than GPDP)	Annual Plan 2016-17 (New activity)				Decision of CEC
		Unit	Days	Rate	Cost	
21.	Accountant & data entry operator <i>(Two days training of ADO panchayat, Accountant and Secretary on Budgeting and Accounting at</i>	9642	2	750	144.63	Approved

	<i>district level) (ADO-821, Accountant-821, Secretary-8000)</i>					
2.	State level ToT of Master trainers on Gender-women issues and empowerment and OSR (4 Master trainers from each district)	300	3	1850	6.65	Approved
3.	Regional level training of Women representatives on Gender-women issues and empowerment (State has already developed a draft training module for this training.)	24837	3	750	558.83	Approved. <i>However, State may intimate the venue of the training and whether these are residential training before release.</i>
4.	Block level training programme for Gram Pradhan and Secretary on improving Own Source of Revenue (OSR)	67073	2	500	670.73	Approved
5.	Training of Nyay panchayat level computer operator on PES application at district level.	8135	6	750	366.07	Approved
6.	Training of Panchayat Secretaries on PES application at block level.	8000	4	500	160.00	Approved
7.	Training of ADO panchayat on PES application at district level.	821	2	750	12.31	Approved
8.	Training of accountant on PRIASoft at District level	821	2	750	12.31	Approved
9.	One day Orientation of ERs (Gram Pradhan) at block level on PES application	59073	1	500	295.36	Approved
10.	Training of ERs on Basic computer orientation including use of web at district level	5000	3	750	112.50	Approved. <i>However, State may provide suitable representation to SC/ST and women belonging to Panchayats where computers/ connectively is there.</i>
	Total CB&T new (other than GPDP)				2339.39	

C. Institutional Infrastructure:

Sl. No.	Name of the activity*	Carried forward activity					Annual Plan 2016-17 (New activity)			Decision of CEC
		Unit	Sanctioned amount	Expenditure	Available fund	Additional requirement	Unit	Rate	Cost	
1	2						3	4	5	6
A	SPRC Additional building Hostel Equipment (Upto Rs 1 cr.)	-	-	-	-	-	1	100	0.00	Not approved.
B	DPRC Building/ Hostel Equipment (Upto Rs 2 cr.)	-	-	-	-	-	10	200	2000	On discussion, State requested for 25 DPRC where RIRD and DIRD did not exist. CEC agreed for 10 DPRC for this year. 10*200= 2000 lakh
Total									2000.0	

II. Recurring cost:

Sl. No.	Name of the activity	Carried forward				Annual Plan 2016-17 (New activity)				Decision of CEC
		Sanctioned HR	HR in position (indicate category)	Annual commitment	Fund available	Category of staff	unit	Period	Cost	
1	At SPRC					Faculty	4	12 month	Total fund : 40.00	Approved However, State may provide qualification and domain about
2					DEO	2	12 month			
3					Peon	1	12 month			

Sl. No.	Name of the activity	Carried forward				Annual Plan 2016-17 (New activity)				Decision of CEC
		Sanctioned HR	HR in position (indicate category)	Annual commitment	Fund available	Category of staff	unit	Period	Cost	
										staff. Only one peon can be supported
A2	Maintenance of SPRC	Fund available:				Addl Fund required:			Total fund : 40.00	
B1	Recurring cost (Upto 10lakh per annum per DPRC)					4 persons for 43 DPRC				Approved 25 DPRC where RIRD and DIRD do not exist.
1						Faculty	2	12 month	25x10.0= 250.00	
2						DEO	1	12 month		
3	Additional Faculty					Peon	1	12 month		
B2	Maintenance of DPRC	Fund available	Nil			Addl fund required:			0	
							Subtotal		250.00	

D. Details of activities (Technical Support Group under E-Governance)

Sl. No.	Name of the activity	Carry forward activities (amount)	Annual Plan 2016-17 (New activity)				Decision of CEC
			Unit	Period/Month	Cost	Category of Staff	
	HR/Technical support group/e PMU for e governance	416.00					As requested by the State for governance staff for Help desk at State level and 1 consultant each at 18 Divisional

	State level	E-governance unit (funded by state specific scheme)	6	12 month	00	4 Technical Consultants, 1 DEO, 1 Peon	level. The proposal is Approved . Rest of manpower is to be funded from State Scheme.
		Help desk unit	4	12 month	15.60	3 Help desk @35000 executive, 1 office assistant@25000	
	Division level		18	12 month@40,000	86.40	1 Consultant at every division (18 Divisions)	
	District level		93	12 month	00	1 DPM at every district (75 Districts) funded through State specific scheme.	
	Total				102.00		

Sl. No.	Name of the activity	Annual Plan 2016-17 (New activity)					Decision of CEC
		Category of Staff	Unit	Period /month	Per Unit Cost		
1	SPMU	Consultant	3	12 month	60000	21.60	Approved by CEC within 5% of project cost. Qualification and domain expertise may be provided.
		DEO	2	12 month	25000	6.00	
		Programmer	1	12 month	40000	4.80	
		Peon	2	12 month	10000	2.40	
		Sub Total				34.80	
2	DPMU (Funded by State specific scheme)	1 DPM per district	75	12 month	40000	360.00	Approved by CEC within 5% of project cost. Qualification and domain expertise may be provided.
Sub Total:						360.00	
Total						394.80	

C. Information Education & Communication:

Sl. No.	Nature of the IEC activity	Total amount proposed*	Comment MoPR
1	2	3	4
A	Handbook, Template, Brouchure	2% of the total project cost	May be recommended @1 %
B	Printing of GPDP process document handbook		
C	Workshops (Division and district level)		
D	Information dissemination activities etc.		
