

## State- Uttarakhand

### **1. General Observations:**

- **Financial Progress:** State has submitted utilization certificate along with certified audited Statement of account up to FY 2015-16. Provisional UC of the FY 2016-17 has also been submitted by State. As per UCs submitted, total unspent balance with State is Rs.5.34cr.
- **GPDP implementation:** State reported that all the GPDPs for the FY 2016-17 have been uploaded on Plan Plus portal and for the FY 2017-18, total 2552 GPs have approved their GPDP. Out of which 1590 plans have been uploaded on plan plus portal.
- **Capacity Building and Training:** State informed CEC that total 79700 Stakeholders of Panchayats (ERs, Panchayat Functionaries and other line department functionaries) have been imparted training out of total 104142 participants Sanctioned under GPDP and other than GPDP trainings.
- **Exposure Visits:** During the FY 2016-17, exposure visits were sanctioned for 150 and 200 participants within and outside state respectively. Out of which, State has completed exposure visits (within state) 150 participants.

For the current year (2017-18), exposure visits for 1200 participants (500 with in the State and 700 outside state) have been approved by CEC.

- **Innovative Training Programme:** CEC emphasized that the state may organize innovative training programme for Sarpanches and Panchayat functionaries on the lines of programme organized by MoPR in Siri Fort during Dec, 2016. This exercise is aimed at prioritizing the training and capacity building of participants so that they are able to plan and utilize the enhanced flow of resources available at GP level in an effective manner. This training programme will give Sarpanches an opportunity to share their experiences and showcase their best practices.
- **Institutional Infrastructure:** CEC has approved only carryover activity for construction of DPRCs and ETC up gradation. (Total 4 DPRCs for construction and 8 ETCs for up gradation have been approved.)

CEC noted that state has incurred expenditure more than sanctioned amount for construction of 2 DPRCs. State informed the CEC that during the previous year 2 DPRCs were sanctioned @ of Rs 50 lakh per DPRCs whereas, the upper ceiling limits for per DPRC construction is Rs 200 lakh. State informed that the expenditure within the cost norm of scheme guidelines for DPRCs. Hence, State incurred excess expenditure and requested approval of the same of CEC.
- **SATCOM/Distance learning facility:** State proposed internet based training through Video conferencing. The proposal was not approved by CEC as video conferencing facilities already exist in States.
- **IEC (1% of the approved budget):** The cost includes low cost handheld projectors as per Government financial rules & 1 short film. The cost of the short film should be within the prescribed rate of DAVP.

Subject to the above observation, the CEC approved proposal of Uttarakhand with an amount of Rs. 2138.01 lakh. The activity- wise approved component are as under:

## 2. Budget summary:

**Rs. In lakh**

SL. No	Component	Cost approved by CEC
<b>1.</b>	<b>Capacity building and training(CB&amp;T)</b>	
a	GPDP training (carry over) for 13,340 participants	*149.17
b	Other than GPDP training (carry over and new) for 76,245 participants and also includes cost of 2 short films	*1231.84
c	Exposure Visits( 500 participants within state and 700 participants outside state	*368.75
	<b>Total cost CB&amp;T</b> <b>(*The cost includes 25% increase in the total cost of training)</b>	<b>1749.78</b>
<b>2.</b>	<b>Institutional Infrastructure</b>	
a.	Construction of 4 DPRCs( carry over)	65.00
b.	Up gradation of 8 ETC ( carry over)	100
c.	Recurring Cost on faculty (HR support SPRC and DPRC)	102.20
	<b>Total</b>	<b>2016.99</b>
3	IEC(1 % of project cost) The cost includes low cost handheld projectors as per Government financial rules & 1 short film	20.17
	PMU((5 % of project cost) e-PMU and PMU will be same	100.85
	<b>Grand Total</b>	<b>2138.01</b>

1 Capacity Building & Training(carry forward)										
A GPDP Training										
S.L NO	Name of the Activity	Venue	Subject	Unit	Days	Rate/	Total Cost	CEC Decision		
						head/day (Rs.)				
1	ERs of District /BlockPanchayat	State	GPDP Process	3720	1	750.00	27.760	Approved		
2	ERs Gram Panchayats(other than sarpanch/upa sarpanch)	Block	GPDP process training and orientation training	7950	3	300.00	71.550			
3	Training of State MTs/trainers	State	Refresher programme along with new training component	54	2	1850.00	1.998			
4	District Level	State		376	2	750.00	5.640			
5	Block level	District		1240	2	500.00	12.400			
6	Exposure visits outside State			200	5	7000.00	70.000			
	Sub total						189.3480			
						25%	47.3370			
	Total			13540			236.6850			
B Other than GPDP Training(Committed)										
1	President/sarpanch of GP	Block	Panchayat Finance, Accounts, audit, record keeping , OSR,	7950	3	500	119.25	Approved		
2	Elected Women Representatives(GP, BP, DP)(State may specify the details)	District	SBM	6000	2	750	90.00			
3	Panchayat Secretary	State	Panchayat Finance, Accounts, audit, record keeping , OSR,	1175	3	750	26.44			
4	Members of committees (DPC, Standing Committees, SMC, VHSNC etc	State	Orientation of role and responsibility	15900	2	780	248.04			
5	CB for convergence • Consultative workshop Joint Joint Meeting	State	convergence with MNREGS, SBM, Health, women and child	250	2	1850	9.25			
	Sub Total						492.9775			
						25%	123.2444			
	Total			31275			616.2219			
Other than GPDP Training(New)										
1	President/Sarpanch of District Panchayat	District	Cashless transactions in rural areas	13	2	700	0.18	Approved Training material cost includes short films cost also		
2		District	Solid Waste management	13	2	700	0.18			
3	Members of District Panchayat	District	Cashless transactions in rural areas	423	2	700	5.92			
4		District	Solid Waste management	423	2	700	5.92			
5	President/sarpanch of Block Panchayat	District	Cashless transactions in rural areas	95	2	700	1.33			
6	Members of Block Panchayat	District	Solid Waste management	3171	2	700	44.39			
7	President/sarpanch of Gram Panchayat	District	Cashless transactions in rural areas	7958	2	700	111.41			
8		District	Solid Waste management	7958	2	700	111.41			
9	Members of Gram Panchayat	Village	Cashless transactions in rural areas	7958	1	300	23.87			
10		Village	Solid Waste management	7958	1	300	23.87			
11	Panchayat functionaries of PR/ RD	District	Cashless transactions in rural areas	2000	2	700	28.00			
12		District	Solid Waste management	2000	2	700	28.00			
13	Line department functionary & grassroots workers (ASHA, AWW etc)	District	Cashless transactions in rural areas	2000	2	700	28.00			
14		District	Solid Waste management	2000	2	700	28.00			
	TOTs for Resource Person/Master Trainer									
15	State level (separate e-SPMU)	Block	Cashless transactions in rural areas	500	2	1850	18.50			
16		Block	Solid Waste management	500	2	1850	18.50			

17	Development of Training Modules (Upto 5 lakh per State/ per year)							5.00
18	Development of Training Material including film and electronic material							10.00
19	Exposure visits within State(Upto Rs. 2000/per day/per participant)			500	5	2000		50.00
20	Exposure visits outside State			500	5	7000		175.00
	<b>Sub total</b>							717.5040
							25%	179.3760
	<b>Total</b>			45970				<b>896.8800</b>

**2 Institutional Infrastructure**

**A (Construction and up gradation) Carry Over**

SL. No	Activity	Carry forward				New Plan 2017-18			CEC Decision
		Unit	Sanctioned amount	Expenditure	Additional requirement	Unit	Rate	Total cost	
1	District Panchayat Resource Center(Building Hostel equipment)	1	100	85	15			15.00	Approved
2	DPRC Building Hostel Equipment (Upto Rs 2 cr. )	3	200	250				50.00	Approved CEC acknowledged that State has incurred more than sanctioned amount for construction of DPRCs i.e. Rs 50 lakh.
3	Up gradation of ETCs/ District Institute of Panchayati Raj (On the basis of State Govt. proposal with a limit of Rs. 50 lakh per Institute for Plan Period	8	200	100	100			100.00	Approved
	<b>Total Cost</b>							<b>165.00</b>	<b>Approved</b>
<b>B Recurring Cost for Training</b>									
SL. No	Activity				Month	Unit	Rate	Total Cost	
1	Recurring cost Upto 40 lakh per annum per SPRC)				10	6		17.70	Approved
2	Recurring cost of additional faculty and maintenance of DPRCs				10	52		84.50	
	<b>Total Cost</b>							<b>102.20</b>	
	<b>GRAND TOTAL</b>							<b>2,016.99</b>	
4	IEC 1%							20.17	
5	PMU 5%							100.85	
	<b>TOTAL PROJECT COST</b>							<b>2,138.01</b>	